



**PATENT**  
Attorney Docket No. 3030

Receipt  
#3030

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

RIBAS-CORBERA et al.

Group Art Unit: 2621

Serial No. 09/955,731

Examiner: Unassigned

Filed: September 19, 2001

For: Generalized Reference Decoder for  
Image or Video Processing

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Applicant hereby responds to the NOTICE TO FILE MISSING PARTS OF APPLICATION dated October 25, 2001. This application is on behalf of a large entity, and pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is \$130.00.

Submitted with the response and surcharge is a filing fee in the amount of \$740.00, additional claim fees in the amount of \$288.00, an Executed Declaration of inventors, a copy of the Notice to File Missing Parts of Application, a Request for Corrected Filing Receipt and a Credit Card Payment form.

The total fee due is:

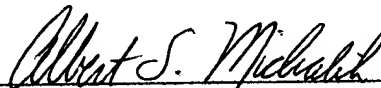
Basic Filing Fee	\$740.00
Additional Claim Fees	\$288.00
Surcharge	<u>\$130.00</u>

Total Fee Due: \$1158.00

In re Application of RIBAS-CORBERA et al.  
Serial No. 09/955,731

- ☒ The Patent Office is hereby authorized to charge the credit card number on the attached Credit Card Payment form the sum of \$1158.00.
- ☒ The Patent Office is hereby authorized to charge any underpayments or credit any overpayments associated with this submission to Deposit Account No. 50-1618.

Respectfully submitted,



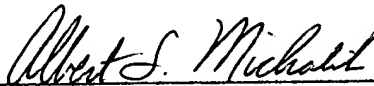
Albert S. Michalik, Reg. No. 37,395  
Michalik & Wylie, PLLC  
14645 Bel-Red Road  
Suite # 103  
Bellevue, WA 98007  
(425) 653-3533 (telephone)  
(425) 653-3603 (facsimile)

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#### CERTIFICATE OF MAILING

I hereby certify that this response, credit card payment form, executed declaration of inventors, copy of the Notice to File Missing Parts, Request for Corrected Filing Receipt and return postcard are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: Dec. 14, 2001



Albert S. Michalik



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,731	09/19/2001	Jordi Ribas-Corbera	3030

CONFIRMATION NO. 9471

FORMALITIES LETTER



\*OC000000006970381\*

 MICHALIK & WYLIE PLLC  
 Suite 103  
 14645 Bel-Red Road  
 Bellevue, WA 98007

Date Mailed: 10/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$288.  
    ▪ \$288 for 16 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1128.

*A copy of this notice MUST be returned with the reply.*

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

 01/23/2002 SFELEI 0000117 09955731  
 01 FC:101  
 02 FC:103  
 03 FC:105  
 740.00  
 288.00  
 130.00



PATENT  
Attorney Docket No. 3030

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ribas-Corbera et al.

Group Art Unit: 2621

Serial No. 09/955,731

Examiner: Unassigned

Filed: September 19, 2001

For: Generalized reference decoder for  
image or video processing

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Attached is a copy of the official filing receipt received from the Patent Office in the above-identified application. Issuance of a corrected filing receipt is respectfully requested.

Applicants submit that there is an error with respect to the residence provided by both applicants on the filing receipt. Jordi Ribas-Corbera's residence is **Redmond, WA** and Philip A. Chou's residence is **Bellevue, WA**. A copy of the filing receipt with this correction in red ink is enclosed herewith. The Patent Office is hereby authorized to charge any fees associated with this submission to Deposit Account No. 50-1618.

Respectfully submitted,

By Albert S. Michalik  
Albert S. Michalik, Reg. No. 37,395  
One of the Attorneys for Applicant(s)  
14645 Bel-Red Road, Suite 103  
Bellevue, WA 98007  
(425) 653-3520

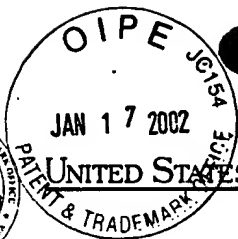


CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this REQUEST FOR CORRECTED FILING RECEIPT is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below:

Date: 12/14/01 By: Albert J. Michalik

3030 Req for Corrected filing receipt



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/955,731	09/19/2001	2621	0.00	3030	5	36	3

CONFIRMATION NO. 9471

FILING RECEIPT



\*OC000000006970380\*

MICHALIK & WYLIE PLLC  
Suite 103  
14645 Bel-Red Road  
Bellevue, WA 98007

Date Mailed: 10/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Jordi Ribas-Corbera, ~~Residence Not Provided~~; Redmond, WA  
Philip A. Chou, ~~Residence Not Provided~~; Bellevue, WA

**Assignment For Published Patent Application**

Microsoft Corporation;

**Domestic Priority data as claimed by applicant****Foreign Applications**

If Required, Foreign Filing License Granted 10/24/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

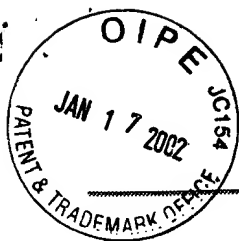
Non-Publication Request: No

Early Publication Request: No

**Title**

Generalized reference decoder for image or video processing

**Preliminary Class**



382

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).